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TRANSMITTAL FORM <small>(To be used for all correspondence after initial filing)</small>	Application No.	09/929,153
	Filing Date	8/13/2001
	First Named Inventor	Jyh-Ming Jong
	Group Art Unit	2633
	Examiner Name	Unknown
Total Number of Pages in this Submission: 4	Attorney Docket No.	SUN-P5892-RJL

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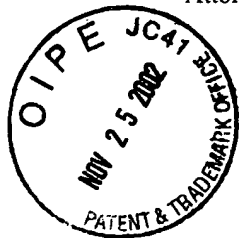
ENCLOSURES (check all that apply)		
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<input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Response to Missing Parts Notice/ Incomplete Application	<input type="checkbox"/> Terminal Disclaimer	
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Name	Hoyt A. Fleming III	Date	November 18, 2002
Signature		Telephone	(208) 336-5237
Address	Park, Vaughan & Fleming LLP, P.O. Box 140678, Boise, ID 83714	Facsimile	(208) 342-5363



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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Inventors: Jyh-Ming Jong, Drew G. Doblar and Daniel R. Cassiday
Title: OPTICAL TRANSMITTER FOR TRANSMITTING A PLURALITY OF QUANTUM SIGNALS
Filing Date: 8/13/2001
Serial Number: 09/929,153
Group Art Unit: 2633
Examiner: Unknown

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On an enclosed Form PTO-1449 is information known to applicant(s) and submitted pursuant to 37 C.F.R. § 1.56. A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. § 1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial and return the enclosed Form PTO-1449 in accordance with MPEP § 609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56.

☒ This statement qualifies under 37 C.F.R. § 1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☒ (3) It is being filed before the mail date of the first Office Action on the merits.

☐ 37 C.F.R. § 1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but

before the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, then:

- ☐ a certification as specified in § 1.97(e) is provided below; **or**
- ☐ a fee of \$240.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement. Please note that a check in the amount of \$240.00 is enclosed in payment.
- ☐ 37 C.F.R. § 1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, but before payment of the issue fee, then:
- A. a certification as specified in § 1.97(e) is completed below; **and**
- B. a petition under 37 C.F.R. § 1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$130.00 as set forth in § 1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ Statement under 37 C.F.R. § 1.97(e) - I hereby certify that either: each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the information disclosure statement; or no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

Name: Hoyt A. Fleming III
Reg. No.: 41,752

Signature: _____

Hoyt A. Fleming III

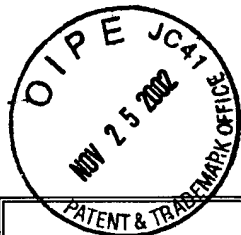
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INFORMATION DISCLOSURE CITATION

PTO-1449

ATTY. DOCKET NO.
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APPLICATION NO.
09/929,153

APPLICANTS

Jyh-Ming Jong, Drew G. Doblar and Daniel R. Cassiday

FILING DATE
8/13/2001

GROUP ART UNIT
2633

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,982,210	Nov. 9, 1999	Rogers	327	156	Sep. 2, 1994
	US2001/0021051A1	Sep. 13, 2001	Kim	359	161	Dec. 29, 2000

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FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.